

ABSTRACT

The invention utilizes a computer apparatus to automatically track and monitor the activities of role players in the investment process analyzing and detecting anomalies, variances and transgressions. The system automatically generates immediate E-mail or SMS alerts in certain instances or generates displays or reports quantifying costs or benefits of transactions, at predetermined intervals. These reports will contain relative performance measurement of asset management service providers, brokers or other investment entities with respect to compliance with regulations, a set of behavioral mandates and any other benchmark or parameter as requested by the client.

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